

# Grant Program: Japan-U.S. Global Partnership U.S. Application Guidelines

For Fiscal 2023-24 [GP-GP 2023]



JAPAN FOUNDATION  
国際交流基金

## 1. Objectives

In recognition of the importance of the Japan-U.S. relationship, a fund was established in 1991 for the Japan Foundation (JF) to support grant programs and special projects that would strengthen and further Japan-U.S. relations. For over 30 years, JF has worked towards these goals: (i) To promote collaboration between Japan and the United States with the goal of fulfilling shared global responsibilities and contributing to improvements in the global welfare and (ii) To enhance dialogue and interchange between Japanese and U.S. citizens on a wide range of issues to strengthen bilateral relations. Since the fund's establishment in 1991, the international situation has become more complex, requiring partnerships across traditional academic fields and geographic regions to address current issues. Most recently, on top of the global spread of COVID-19, more frequent extreme weather and natural disasters due to global warming, events that have shaken the international order have shown how necessary long-term Japan-U.S. cooperation is to creating a more peaceful, stable, and prosperous world. The goals of the "Grant Program: Japan-U.S. Global Partnership" are to support Japan-U.S. collaborative projects that will help resolve global issues of common concern, and to support the individuals who organize and participate in these projects. JF encourages projects that fall under the following priority thematic areas.

Priority Thematic Areas:

### (1) Building a resilient society

Efforts to meet global threats such as natural disasters, pandemics, and environmental pollution

Examples: Disaster prevention, Post-disaster reconstruction, Pandemic and infectious disease control, Public health, Economic recovery, Environmental issues, Community development, etc.

### (2) Developing an inclusive society

Efforts to develop a more prosperous and vibrant society; efforts to overcome societal divisions

Examples: Revitalization of local communities, Urban issues, Low birthrate and aging population, Women's issues, Children and family issues, Mental health, Art's impact on social issues, etc.

### (3) Creating a society enriched by science and technology

Efforts to better understand and meet cultural and social needs resulting from advances in science and technology

Examples: Artificial Intelligence (AI), Robotics, Bioethics, Non-traditional Media, New communication methods, Effects of technology on work and life, Education, etc.

Projects that fall outside of the priority thematic areas will also be considered if they deal with issue(s) where Japan-U.S. cooperation is vital and urgently needed.

In addition to funding, JF can provide advice and guidance based on our experience and network; please feel free to contact staff to discuss potential project ideas. U.S.-based applicants can contact our New York office at [global\\_partnerships@jfnny.org](mailto:global_partnerships@jfnny.org).

## **2. Eligible Projects**

### **(1) Requirements:**

- Projects must begin between April 1, 2023 and March 31, 2024;
- Projects must have a symposium, conference, workshop to support dialogue and networking (virtual events and projects with a combination of these elements are all acceptable)
- Projects must be U.S.-Japan collaborations (for U.S.-based applicants, inclusion of Japanese perspective is critical and conversely, for Japan-based applicants, inclusion of U.S perspective is critical);
- A minimum of 20% of the total project budget each year must be secured from non-JF sources such as the applying institution, the collaborating institution, and/or other sources.

### **(2) Priorities:**

- Projects that fit within one or more of the three priority thematic areas ("Building a resilient society", "Developing an inclusive society", and "Creating a society enriched by science and technology");
- Ideas and/or participants that comprise a broad range of viewpoints (e.g. collaborative project involving both academics and practitioners);
- Inclusion of a broad spectrum of participants including ethnicity, gender, geography, and fields of expertise relevant to the project;
- Participation from countries other than the U.S. and Japan that are relevant to the issue;
- Participation and/or participants from outside of major metropolitan areas;
- Demonstration of outcomes, impact on society (including support of people important for addressing the global issues/project theme(s)).
- Applications for Policy-oriented initiatives to resolve global issues of common concern.

### **(3) JF will not consider proposals for the following:**

- Projects focused on academic research in medical, technical or natural sciences;
- Commercial activities;
- Projects that can be utilized for goals that are religious and/or political in nature;
- Projects in direct support of specific doctrines or claims.

## **3. Eligibility**

Proposals are accepted by JF New York from U.S. non-profit organizations with 501(c)(3) status under the Internal Revenue Code.

## **4. Grant Period**

- (1) For the Fiscal Year 2023 round, projects must begin between April 1, 2023 and March 31, 2024.

- (2) Multi-year projects (up to 3 consecutive years) can be considered based on necessity and the project goals stated in applications; grants for multi-year projects support will be provided in one-year increments.

## **5. Grant Coverage**

- (1) The grant amount will be decided based upon scale and necessity for each project. JF is able to consider support for the following items:
  - Personnel & Honoraria (honoraria for lecturers, researchers, collaborators, interpreters and staff salary, etc.)
  - Travel (international and domestic transportation costs, accommodation costs, and meal costs)
  - Conference Costs (room rental, audio and visual equipment rental, event advertising costs, etc.)
  - Report & Publication Costs (editing, website creation, translation, printing costs, etc.)
  - Other direct project (costs for reference materials, etc.)
  - \* For requests that are unusual or new to JF, estimates for the relevant line items may be needed.
- (2) JF will support indirect costs totaling no more than ten percent (10%) of the actual direct costs JF supports. Rent and other administrative costs are not to be included as direct costs; JF considers these costs to be included within the category of indirect costs.
- (3) JF will not consider the following items:
  - Capital fund and endowment drives, debt reduction;
  - Operating costs unrelated to the project being supported;
  - Design, construction, or maintenance of buildings or monuments;
  - Procurement of equipment or other durable goods or purchase of land.
- (4) The grant amount will be decided based upon scale and necessity for each project by JF. A minimum of twenty percent (20%) of the total project budget each year must be secured from non-JF sources such as the applying institution, the collaborating institution, and/or other sources.

## **6. Application Procedures**

- (1) Application deadline  
December 1, 2022, 11:59 p.m. (Eastern Standard Time)
- (2) How to apply
  - a. Download the application documents from the website below:  
<https://www.jfny.org/grants/grants-for-global-partnerships/>
  - b. Complete the application documents according to the Application Instructions (page 7).
  - c. Send your application documents to [global\\_partnerships@jfny.org](mailto:global_partnerships@jfny.org)
- (3) JF welcomes inquiries on projects from applicants prior to the deadline; please contact us via e-mail at [global\\_partnerships@jfny.org](mailto:global_partnerships@jfny.org). If you would like to receive feedback on a project idea, please submit a concept note or a draft application with objective, method, overview of projects to [global\\_partnerships@jfny.org](mailto:global_partnerships@jfny.org) no later than November 1, 2022. Submitting a concept note is

not a requirement and does not guarantee that a grant will be awarded.

- (4) JF may consult relevant area experts during the review process as necessary.
- (5) Applicants will be notified of the results in April, 2023.

## **7. Number of Grants**

- (1) The number of approved applications in the previous year was 6 out of 37 applications.
- (2) Please see our website for details:  
<https://www.jfnny.org/grants/grants-for-global-partnerships/>

## **8. Terms of Agreement**

By applying to our program the applicant has understood and agreed to the following:

- (1) Regulations and laws

Grant programs of JF are operated in accordance with the relevant regulations and laws of the Japan Foundation.

JF is intolerant of any fraudulent activity from the application process through the grant duration. Once a grant has been provided, committing any form of fraud with regard to JF grant funds could result in penalty measures such as revocation of decision to provide a grant in whole or in part, request return of rescinded portions of the grant including late payment charges, suspension of the application for a certain period of time, and/or other legal actions (Ref. "Act on Regulation of Execution of Budget Pertaining to Subsidies, etc." (Act No. 179 of 1955)).

- (2) Receiving of grants

Applicants who have received support for the past three consecutive years will be ineligible to receive support for a fourth year under the same program. This measure enables JF to extend its support to as many applicants as possible by de-emphasizing repeated long-term funding to the same recipients. However, there may be exceptions for projects that JF finds significant reason to continue supporting. Applicants subject to this principle and not accepted are eligible to apply for the next fiscal year, and applications will be screened accordingly.

- (3) Grantee obligations

The Grantee shall acknowledge JF's financial support when the Grantee produces any materials related to the Grant Project such as publicity materials (e.g. websites, catalogs, posters, flyers, etc.), conference materials, books, videos, etc.

The Grantee shall include the designated quantity of publicity materials and deliverables to JF with the Final Report.

The Grantee shall submit the Final Project Report and Financial Report, when the project has been completed.

- (4) Disclosure of information

When a request for information, based on the "Act on Access to Information Held by Independent Administrative Agencies" (Act No. 140 of 2001) is received, materials such as submitted application forms will be disclosed (unless stipulated by law as not to be disclosed). Japanese law requires that all material submitted to JF be subject to public disclosure after the screening process is completed,

with the exception of personal information.

Information concerning projects that we support, such as the name of the grantees and project descriptions, will be made public in the Annual Report, on the website, and in other public relations materials of the Japan Foundation.

(5) Handling of Personal Information

a. Compliance with applicable laws

To the extent applicable, the Japan Foundation will comply with the “Act on the Protection of Personal Information” (Act No. 57 of 2003) (the “Act”), relevant cabinet and ministerial orders, various guidelines stipulated by the Personal Information Protection Commission or other organizations to which the Personal Information Protection Commission has delegated authority, the “EU General Data Protection Regulation (the “GDPR”),” the Personal Information Protection Law of the People’s Republic of China and related laws and regulations (the “Chinese Laws”), and other laws and regulations in relation to protection of personal information in relevant countries and regions, etc., and when handling any personal information, the Japan Foundation will properly collect, use and control the same. For more information on the Japan Foundation’s efforts to protect personal information (privacy policy), please visit the following websites:

(Related to the Act): (Japanese) <https://www.jpf.go.jp/j/privacy/>

(English) <https://www.jpf.go.jp/e/privacy/>

(Related to the GDPR): <https://www.jpf.go.jp/e/privacy/index.html#gdrp>

(Related to the Chinese Laws): [https://www.jpfbj.cn/jp/personal\\_information/](https://www.jpfbj.cn/jp/personal_information/)

b. Acquisition of personal information

The Japan Foundation may acquire the following personal information (the “Personal Information”) from the applicants through the application forms, attachments, project reports, deliverables, etc. (the “Project Materials”). In addition, the Japan Foundation may acquire the applicants’ Personal Information through publicly available websites.

[Basic information of applicants]

Name, date of birth, nationality, permanent residence, gender, place of employment, job and work duties, home address, postal code, telephone number (including mobile phone number), fax number, e-mail address, photographs taken during or prior to the program, etc.

[Information on applicants’ educational background, career history and abilities]

Resume (including educational background and career history), major achievements, foreign language proficiency, overseas residence history, overseas residence plan, contact information during the residence period, etc.

c. Purposes of use and period of personal information

(a) Based on the consent of the applicant, the Japan Foundation will use the Personal Information acquired from the applicant for screening, notification of screening results, implementation of the project, post-evaluation, communication to successful applicants and for any and all other purposes for management of applicants and successful applicants (the “Purposes of Use”).

(b) For the proper and smooth operation of the Japan Foundation projects, any information

such as the applicants' names, genders, jobs, titles, affiliations, project periods, project content, etc. will be: posted on published materials, such as the Detailed Annual Reports of the Japan Foundation (*Kokusai Koryu Kikin Jigyo Jisseki*), the Annual Report and the Japan Foundation's website; used for preparation of statistics materials; and used for formulation of future Japan Foundation projects.

- (c) In addition to the information specified in b) above, the applicants' contact information (i.e., address, e-mail address and phone number) will be used to: request the applicants to answer questionnaires as follow-up to the project after completion thereof; provide a notice regarding other Japan Foundation projects; request the applicants to provide information for formulation of future Japan Foundation projects, and so on.
- (d) The Japan Foundation will handle the Personal Information of the applicants for the period necessary to achieve the Purposes of Use stated above.
- d. Provision of personal information
  - (a) The Japan Foundation may provide the Personal Information acquired from the applicants to the following organizations, to the minimum extent necessary. The Japan Foundation will make sure that the recipients take measures to ensure the security of the Personal Information.
    - i. The Ministry of Foreign Affairs of Japan, Embassies or Consulates-General of Japan, etc. (for arrangement of visas, handling of security control, support for project implementation, etc.)
    - ii. Evaluators such as outside experts, etc. (for screening, post-evaluation, etc.)
    - iii. News media and other organizations (for public relations for the projects)
    - iv. Other organizations and individuals who receive the information as needed for the projects
  - (b) The Personal Information acquired from the applicants may be used or provided for purposes other than those specified in the Purposes of Use, in accordance with Article 69, Paragraph 2 of the Act, such as providing such Personal Information for administrative organs, other incorporated administrative agencies, local public entities or local incorporated administrative agencies (the "Administrative Organs") as long as the Administrative Organs use the same within the scope necessary to conduct the affairs or services prescribed by applicable laws and regulations and where there is a reasonable ground for such use.
- e. Cross-border transfer of personal information
  - (a) The Japan Foundation may handle the Personal Information acquired from the Personal Information acquired from the applicants by transferring the same to the base located in Japan (including the Japan Foundation headquarters) or other countries or regions for the Purposes of Use specified above. The Japan Foundation shall appropriately control the Personal Information in such countries or regions.
  - (b) In addition to the case specified in the preceding paragraph, the Japan Foundation may provide the Personal Information acquired from the applicants to necessary organizations or individuals in Japan or other countries or regions for the Purposes of Use specified

above as needed for the projects, only when the conditions stipulated in laws and regulations are satisfied.

f. Security control of personal information

The Japan Foundation shall endeavor to prevent unauthorized access and leakage of the Personal Information of the applicants by way of taking appropriate security control measures and control means.

g. Rights pertaining to personal information of applicant

The applicant has the right to access his/her Personal Information, correct inaccurate Personal Information, suspend the use of the Personal Information, etc., to the extent that the Act, the GDPR, the Chinese Laws and other laws pertaining to protection of the Personal Information in the relevant country or region apply.

h. Filing of objections against handling of personal information

In the case where the applicant is dissatisfied with the handling of the Personal Information in the Japan Foundation, such applicant may state his/her objections to the Japan Foundation to the extent permitted by the applicable laws and regulations. In accordance with the applicable laws and regulations, the applicant may also file objections to the authority that has jurisdiction over protection of the Personal Information in the country to which the applicant belongs.

i. Personal information of persons involved in the project

The Personal Information of persons involved in the project other than the applicant, which is submitted by the applicant to the Japan Foundation, shall also be handled in the same manner as stipulated in *a. to h.* above, and therefore, the applicants should provide explanations in advance to the persons involved in the project and obtain their consent.

j. Contact information

Please use the contact details specified in "9. Contact" for any opinions, questions, etc. regarding any matters specified in this "Handling of Personal Information" section.

k. Revocation of consent

The applicant has the right to revoke his/her consent to any matters specified in this "Handling of Personal Information" section at any time. The revocation of consent does not affect the legality of the handling of the Personal Information prior to the revocation. In addition, when the applicant does not provide his/her consent or revokes his/her consent, such applicant may not be able to receive necessary information and/or services from the Japan Foundation.

(6) Response to the spread of the COVID-19

Depending on the impacts of widespread novel coronavirus, appropriate measures may be required when implementing the project in accordance with the regulations and instructions of the relevant authorities. Please understand that the Japan Foundation may unavoidably revoke its grant decision, or change the substance of its grant or the conditions attached as a result of changes in circumstances that have occurred after the decision to provide the grant. Please also understand that the Japan Foundation may set certain conditions for overseas dispatch programs, depending on the epidemic situation.

(7) Ensuring the necessary safety and security of projects

Considering the recent instability in global security, with the increase of terrorist attacks in particular, the Japan Foundation highly recommends that you take the necessary security measures to ensure safety when you conduct your projects, such as the following:

- a. Check if there are any security alerts issued in the countries and/or cities where your project is to be conducted;
- b. Provide information on security and safety issues for your international guests (if any).

## **9. Contact**

### **(1) In Japan**

The Japan Foundation (Headquarters) Global Partnerships Department, International Operations Section I

YOTSUYA CRUCE, 1-6-4 Yotsuya, Shinjuku-ku, Tokyo 160-0004, Japan

Tel: +81-(0)3-5369-6072 / E-mail: [gp1\\_grant@jpf.go.jp](mailto:gp1_grant@jpf.go.jp)

### **(2) In the United States**

The Japan Foundation, New York

1700 Broadway, 15th Floor

New York, NY 10019 USA

Tel: 1-212-489-0299

E-mail: [global\\_partnerships@jfnny.org](mailto:global_partnerships@jfnny.org)

- \* Grant Program: Japan-U.S. Global Partnership U.S. Application Guidelines end here. Application Instructions follow.



# Application Instructions

## 1. List of Application Documents

<input checked="" type="checkbox"/>	Document	Format
		Template Provided/Freeform
	Application Form	Template Provided (PDF)
	Project Narrative (approx. 10 pages)	Freeform (PDF/Word)
	Budget Sheet	Template Provided (Excel)
	Project Timeline	Freeform (PDF/Word)
	CV and Bios for all collaborators and key participants	Freeform (PDF/Word)
	Materials on key collaborating organizations and participants	Freeform (PDF)
	Institutional Information	Freeform (PDF/Word)
	Proof of 501 (c) (3) Status	Copy of Letter from IRS

## 2. Grant Program: Japan-U.S. Global Partnership Application Form

### (1) Application Summary

- Name of the applying organization: Submissions will only be accepted from one U.S.-based non-profit organization with 501(c)(3) status. Other institutions involved in the project must be listed under collaborating or participating organizations/individuals. Please provide the name of the institution in both English and Japanese if possible.
- Project title: When choosing a project name, please consider that the project name will be used publicly if the application is granted. It will be the name of the project in all future documents. Please provide in both English and Japanese if possible.
- Proposed project duration: Please provide a start and end date for your project. When determining the project duration, please keep in mind that JF grant funds must be expended during the project duration. For multi-year grants, a separate grant letter is issued for each year (12 months); any funds remaining after each year will have to be returned. The maximum project duration JF will consider is 3 years.
- Amount requested from JF: Please enter the amount requested from JF for each project year.
- Total project cost: Please enter the total project cost.

### (2) Applicant

- Legal status: Please note that, in the U.S., only organizations with 501(c)(3) status are eligible to apply for this grant program.
- Authorized representative, Project director, and Accounting director: The Authorized representative is an individual at the organization who has authority to sign a grant agreement. The Project director is in charge of project plan, and is the primary contact for project status and implementation. The Accounting director is in charge of the financial aspects of the project.

- c. Previous JF grants: If the applying organization has received grants from JF in the past, please list them here. Include the project name, when the grant was awarded, and the grant amount.
- (3) Project Outline
  - a. Project description: Please provide an overview of the project, including the purpose and outline of activities.
    - (a) Project objectives: Include what issue will be addressed and why this issue is important
    - (b) Methodology: Include concrete steps that will be taken to address the issue, methods, and preparation status
    - (c) Anticipated outcomes: Include anticipated outcomes (both tangible and intangible outcomes/products)
    - (d) Dissemination plan: Include target audience and how the target audience will be reached
- (4) Relevant themes: Please check all of the boxes for the thematic areas that apply.
- (5) Project timetable: Please indicate the expected dates and location of major events. For those applying for a multi-year grant please provide the reasons for and explain the necessity of a multi-year project.
- (6) Collaborators and participants: Please list the other organizations and individuals who will be involved in the formulation and implementation of the project plan. Please indicate country of origin and organizational affiliation, as well as what form the participation/collaboration will take.

### **3. Attachments**

- (1) Project Narrative:

The Narrative is a detailed explanation of the project and activities, as well as the issue(s) that will be addressed by this project. Please include: objectives, why this issue needs to be addressed, rationale for why U.S.-Japan collaborative efforts are essential to the project, methodology, status of preparation, anticipated outcomes and dissemination plan, roles of each participant involved in the project. For the status of preparation, please include information on the status of securing collaborators and key participants; when possible, please provide commitment letters as supporting evidence. For multi-year grant applications please provide the reasons for and explain the necessity of a multi-year project.

Please be specific and concrete to the extent possible; explanations for any technical or field-specific terminology in the context of the project would be appreciated. While we encourage you to use your discretion, a narrative of approximately 10 pages including project timeline is a reasonable ballpark.

- (2) Detailed Project Budget:

Please use the JF budget template to detail the expected expenditures and income for the project from JF and other funding sources. Please include information on the status of funding requests from other sources for the project. For information on the types of line items JF can consider under this grant program, please refer to "5. Grant Coverage" in the Guidelines.

- a. Expenditures:

- (a) For multi-year projects, a budget is required for each year. Please base each one-year

period on a 12 month cycle (e.g. June 1 - May 31).

- (b) Please provide a detailed description (e.g. specific conference venues/spaces, nature of books and materials, etc.) for each line item requested of JF. If necessary, explanations and specifics can be provided separately.
- (c) Please provide a breakdown for each line item requested of JF; the breakdown for each line item needs to add up to the amount requested of JF.
- (d) Please provide budget justification (how the line item is relevant to the project) for each line item requested of JF.
- (e) JF can support indirect costs of up to ten percent (10%) of the actual direct costs JF supports.

b. Income:

Please categorize and show project income in the following way:

- (a) Funding requested from JF
  - (b) Own funds (including in-kind contributions)
  - (c) Revenue from project activities (e.g. entrance or ticket fees from project events)
  - (d) Grants from other organizations (please include the status of the application)
- c. The grant amount will be decided based upon scale and necessity for each project by JF. JF cannot support all of the costs of the project. A minimum of twenty percent (20%) of the total project budget each year must be secured from non-JF sources such as the applying institution, the collaborating institution, and/or other sources.

(3) Project Timetable:

Please include a timetable which indicates when each aspect of the project, from the planning stage through the dissemination stage, will be carried out.

(4) Curricula Vitae and Bios:

Please submit curricula vitae for the project director and bios for all collaborators and key participants.

(5) Materials on key collaborating organizations and participants:

Please provide materials that would demonstrate commitment from key collaborating organizations and participants; commitment letters are preferred but email communication is also acceptable if formal letters cannot be obtained by the deadline. If the nature of the project (e.g., building a network) necessitates that participants be decided at a later point in the project, then commitment from those participants is not required at the time of application.

(6) Institutional Information:

Please submit annual reports and other pertinent information (e.g., project relevant staff information, history, past activities, financial information from the past 3 years) about the applying organization.

(7) Proof of 501(c)(3) Status:

Please include a copy of the official IRS determination letter stating the submitting institution has 501(c)(3) status.

## Grant Program: Japan-U.S. Global Partnership Application Steps

